## Lecture 1

#### **Fibonacci**

```
def fib(n):
  if n == 0: return 0
  elif n == 1: return 1
  else: return fib(n-1) + fib(n-2)
• T(0) = 2 (if, return)
• T(1) = 3 (if, elif, return)
• T(n) = T(n-1) + T(n-2) + 7 (if, elif, else, +, fib, fib, return)
```

#### **Notations**

#### O —notation

- *Upper Bound*, that is function grows no faster than cg(n)
- $f \in O(g)$  if there is c>0 and  $n_0>0$  such that  $\forall n \geq n_0: 0 \leq f(n) \leq cg(n)$ 
  - ullet The intuition is that for a large enough n, there is a function g and constant c, such that f(n) is always lesser than g.

#### $\Omega$ —notation

- Lower Bound, that is function grows at least as fast as cg(n)
- $f \in O(g)$  if there is c>0 and  $n_0>0$  such that  $\forall n \geq n_0: 0 \leq cg(n) \leq f(n)$

#### $\Theta$ -notation

- Both upper and lower bounded by cg(n)
- $f \in O(g)$  if there is  $c_1, c_2 > 0$  and  $n_0 > 0$  such that  $\forall n \geq n_0 : 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$

#### o-notation

- Strict upper bound,  $0 \le f(n) < cg(n)$ 

#### $\omega$ -notation

• Strict lower bound,  $0 \le cg(n) < f(n)$ 

## **Orders of Common Functions**

- O(1)
- $O(\log \log n)$
- $O(\log n)$
- $O((\log n)^c)$
- $O(n^c), 0 < c < 1$
- *O*(*n*)
- $O(n \log^* n)$
- $O(n \log n) = O(\log n!)$
- O(n<sup>2</sup>)
- O(n<sup>c</sup>)
- $O(c^n)$
- O(n!)

## Limits

- $\lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) = 0 \Rightarrow f(n) = o(g(n))$  By defin of limits,  $\lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) = 0$  means:

    $\forall \varepsilon > 0, \exists n_0 > 0, \text{ s.t. } \forall n \geq n_0, \frac{f(n)}{g(n)} < \varepsilon$ 

  - Hence, f(n) < c \* g(n)
- Hence, f(n) < c \* g(n)•  $\lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) < \infty \Rightarrow f(n) = O(g(n))$   $0 < \lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) < \infty \Rightarrow f(n) = \Theta(g(n))$   $\lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) > 0 \Rightarrow f(n) = \Omega(g(n))$   $\lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) = \infty \Rightarrow f(n) = \omega(g(n))$

# Lecture 2

# **Merge Sort**

- MERGE-SORT A[1..n]
  - 1. If n = 1, done
  - 2. Recursively sort  $A[1..\lceil \frac{n}{2}\rceil]$  and  $A[\lceil \frac{n}{2}\rceil + 1..n]$
  - 3. Merge the 2 sorted lists
- T(n) =
- $\Theta(1)$  if n=1
- $2T(\frac{n}{2}) + \Theta (n)$  if n > 1

# **Solving Recurrances**

# **Telescoping Method**

- \* For a sequence  $\sum_{k=0}^{n-1} (a_k-a_k+1) = \frac{a_0-a_1}{a_1-a_2} = a_0-a_n$
- $\begin{array}{l} \bullet \text{ E.g. } T(n) = 2T\left(\frac{n}{2}\right) + n \\ \bullet \frac{T(n)}{n} = \frac{T\left(\frac{n}{2}\right)}{\frac{n}{2}} + 1 \\ \bullet \frac{T(n)}{n} = \frac{T\left(\frac{n}{2}\right)}{1} + \log n \end{array}$ 

  - $T(n) = n \log n$
- General Solution
- $T(n) = aT(\frac{n}{b}) + f(n)$

- $\frac{T(n)}{g(n)}=\frac{T(\frac{n}{b})}{g(\frac{n}{b})}+h(n)$  And then sum up occurances of h(n).

#### **Recursion Tree**

- Draw the tree, where each node is the f(n) value.
- Figure out the height of the tree and number of leaves

#### **Master Theorem**

• Put recurrance in the form

$$T(n) = aT\!\left(\frac{n}{b}\right) + f(n)$$

- $a \geq 1, b > 1, f$  is asymptotically positive
- Compare f(n) and  $n^{\log_b a}$

- 1.  $f(n) = O(n^{\log_b a \varepsilon}), \varepsilon > 0$  (If  $\varepsilon = 0$ , case 2)
  - f(n) grows polynomically slower than  $n^{\log_b a}$
  - $: T(n) = \Theta(n^{\log_b a})$
- 2.  $f(n) = \Theta(n^{\log_b a} \log^k n), k \ge 0$ 
  - f(n) and  $n^{\log_b a}$  grow similar rates
  - $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$
- 3.  $f(n) = \Omega(n^{\log_b a + \varepsilon}), \varepsilon > 0$ 
  - f(n) satisfies  $af(\frac{n}{h}) \le cf(n), c < 1$
  - The regularity condition satisfies that sum of subproblems < f(n)
  - f(n) grows polynomically faster than  $n^{\log_b a}$
  - $\therefore T(n) = \Theta(f(n))$

#### Substitution

- For Upper bound:  $T(n) \le cf(n)$
- For Tight bound:  $T(n) \le c_2 n^2 c_1 n$

Solve 
$$T(n) = 4T(\frac{n}{2}) + n$$

- Guess  $T(n) = O(n^3)$
- Constant c s.t.  $T(n) \le cn^3, n \ge n_0$
- · By induction
  - ${\color{red} \bullet} \ c = \max\{2,q\}, n_0 = 1$
  - ▶ Base Case (n = 1):  $T(1) = q \le c(1)^3$
  - Recursive Case (n > 1):
    - Strong induction, assume  $T(k) \le ck^3, n > k \ge 1$
    - $-T(n) = 4T(\frac{n}{2}) + n \le 4c(\frac{n}{2})^3 + n = (\frac{c}{2})n^3 + n \le cn^3$

## **Tutorial 2**

## **Telescoping**

- $T(n) = 4T(\frac{n}{4}) + \frac{n}{\log n}$   $\frac{T(n)}{n} \frac{T(\frac{n}{4})}{\frac{n}{4}} = \frac{1}{\log n}$  Let  $a_i = \frac{T(4^i)}{4^i}, i = \log_4 n, a_i a_{i-1} = \frac{1}{2i}$   $\sum_{m=0}^{i-1} (a_{m+1} a_m) = \frac{1}{2i} + \frac{1}{2(i-1)} + \dots + \frac{1}{2} = \frac{1}{2}(H_i)$  ( $H_i$  is harmonic)
- $\bullet \ a_i a_0 = O(\log i)$
- $T(4^i) = O(4^i \log i), T(n) = O(n \log \log n)$

## Lecture 3

# Correctness of Iterative Algos using Invariants

- Initialization: Invariant is true before first iteration of loop
- Maintenance: Invariant is true at start of loop iteration, it is true at start of the next iteration (Induction)
- Termination: Algo at the end gives correct answer

## **Insertion Sort**

- Invariant 1: A[1..i-1] are sorted values of B[1..i-1]
- Invariant 2:

## **Recursive Algorithms**

• Usually use mathematical induction on size of problem

#### **Binary Search**

- Induction on length n = ub lb + 1
- ▶ if n <= 0: return False
- Induction Step: if n>0, ub >= lb
  - ► Assume algo works for all values ub-lb+1 < n
- ▶ x == A["mid"]: return True, answer returned correctly • x > A[mid], then x is in the array iff it is in A[mid + 1..ub], as A is sorted. Thus by induction answer must be BinarySearch(A, mid+1, ub, x)
- x < A[mid], then x is in the array iff it is in A[lb..mid-1], as A is sorted. Thus by induction answer must be BinarySearch(A, lb, mid-1, x)
- ▶ Thus, answer returned is correct

#### **Divide and Conquer**

- 1. Divide problem into smaller subproblems
- 2. Solve subproblems recursively (conquer)
- 3. Combine / Use subproblem to get solution to full problem
- $T(n) = aT(\frac{n}{b}) + f(n)$ 
  - ▶ a subproblems
  - Each subproblem is size of atmost <sup>n</sup>/<sub>h</sub>
  - f(n) is time needed to divide problem into subproblems + time to get solution from subproblems (combine)

- MergeSort(A[lb..ub])
- 2. If ub = lb return
- 3. If ub > lb mid =  $\frac{\text{ub+lb}}{2}$  (O(1))
- 4. MergeSort(A[lb,mid]), MergeSort(A[mid+1,ub]) (2 Subproblems, each  $\lceil \frac{n}{2} \rceil$ )
- 5. Merge 2 sorted list (Combining:  $\Theta(n)$ )

 $T(n) = 2T(\frac{n}{2}) + O(n)$  Complexity:  $\Theta(n * \log n)$ 

#### Powering

- $F(a,n) = F(a,\lfloor \frac{n}{2} \rfloor)^2$  if n is even  $F(a,n) = F(a,\lfloor \frac{n}{2} \rfloor)^2 * F(a,1)$  if n is odd

 $T(n) = T(\frac{n}{2}) + O(1)$ 

#### Fibonacci

### **Tutorial 3**

#### Lecture 4

# Lower bound for sorting

- Any comparison based sorting runs in  $\Omega(n \log n)$
- The tree must contain at least n! leaves for every possible permutation
- Height of binary tree =  $\log(n!) = \Omega n \log n$

#### **Tutorial 4**

## Lecture 5

- · Las Vegas Algorithms
  - Output always correct
- · Monte Carlo
  - Answer may be incorrect with small probability

#### **Tutorial 5**

# Lecture 6

# LCS

#### **Brute Force**

- · Check all possible subsequences of A and check if its a subsequence of B and output longest one
- $2^n$  possible subsequences, Total Time  $O(m2^n)$

## Recursive

Base Case:

- LCS $(i, 0) = \emptyset$  (i is index A, j is index B)
- LCS $(0, j) = \emptyset$  (*i* is index A, *j* is index B)

If  $a_n = b_m$ , then  $\mathrm{LCS}(n-1, m-1) :: a_n$  Proof by contradiction

- If last symbol in S = LCS(n, m) is not same as  $a_n$ , then last symbol must be a past of  $a_1, \dots a_{n-1}$ , and  $b_1, \dots b_{n-1}$ .
- S is subsequence of  $a_1,...a_{n-1},$  and  $b_1,...b_{n-1}.$
- Append  $a_n$  with S i.e.  $S::a_n$  and get subsequence of length 1 more
- Thus S can ont be largest subsequence (Contradiction)
- So far, we only argued  $a_n$  must be last symbol in  $\mathrm{LCS}(n,m)$
- It is if ne to match  $\boldsymbol{a}_n$  with  $\boldsymbol{b}_m$  (since  $\boldsymbol{a}_n$  is last symbol)
- Therefore  $\mathrm{LCS}(n,m) = \mathrm{LCS}(n-1,m-1) :: a_n$

If  $a_n \neq b_m, \mathrm{LCS}(n,m) = \max(\mathrm{LCS}(n-1,m), \mathrm{LCS}(n,m-1))$ 

#### **Tutorial 6**

Greedy vs DP

## Lecture 7 Greedy

## **DP** Recap

- · Express solutions recursively
- Small number(polynomial) of subproblems
- · Huge overlap among subproblems, so recursive may take exponential time

- Compute recursive iteratively in bottom up fashion

# Greedy

Recast the problem so that only 1 subproblem needs to be solved at each step.

# **Lecture 8 Amortized Analysis**