

# Lecture 1

## Fibonacci

```
def fib(n):  
    if n == 0: return 0  
    elif n == 1: return 1  
    else: return fib(n-1) + fib(n-2)
```

- $T(0) = 2$  (if, return)
- $T(1) = 3$  (if, elif, return)
- $T(n) = T(n-1) + T(n-2) + 7$  (if, elif, else, +, fib, fib, return)

## Notations

### $O$ —notation

- *Upper Bound*, that is function grows no faster than  $cg(n)$
- $f \in O(g)$  if there is  $c > 0$  and  $n_0 > 0$  such that  $\forall n \geq n_0 : 0 \leq f(n) \leq cg(n)$ 
  - The intuition is that for a large enough  $n$ , there is a function  $g$  and constant  $c$ , such that  $f(n)$  is always lesser than  $g$ .

### $\Omega$ —notation

- *Lower Bound*, that is function grows at least as fast as  $cg(n)$
- $f \in O(g)$  if there is  $c > 0$  and  $n_0 > 0$  such that  $\forall n \geq n_0 : 0 \leq cg(n) \leq f(n)$

### $\Theta$ —notation

- Both upper and lower bounded by  $cg(n)$
- $f \in O(g)$  if there is  $c_1, c_2 > 0$  and  $n_0 > 0$  such that  $\forall n \geq n_0 : 0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$

### $o$ -notation

- Strict upper bound,  $0 \leq f(n) < cg(n)$

### $\omega$ -notation

- Strict lower bound,  $0 \leq cg(n) < f(n)$

## Orders of Common Functions

- $O(1)$
- $O(\log \log n)$
- $O(\log n)$
- $O((\log n)^c)$
- $O(n^c), 0 < c < 1$
- $O(n)$
- $O(n \log^* n)$
- $O(n \log n) = O(\log n!)$
- $O(n^2)$
- $O(n^c)$
- $O(c^n)$
- $O(n!)$

## Limits

- $\lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = 0 \Rightarrow f(n) = o(g(n))$ 
  - By defn of limits,  $\lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = 0$  means:
    - $\forall \varepsilon > 0, \exists n_0 > 0$ , s.t.  $\forall n \geq n_0, \frac{f(n)}{g(n)} < \varepsilon$
    - Hence,  $f(n) < c * g(n)$
- $\lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) < \infty \Rightarrow f(n) = O(g(n))$
- $0 < \lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) < \infty \Rightarrow f(n) = \Theta(g(n))$
- $\lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) > 0 \Rightarrow f(n) = \Omega(g(n))$
- $\lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = \infty \Rightarrow f(n) = \omega(g(n))$

# Lecture 2

## Merge Sort

- MERGE-SORT  $A[1..n]$ 
  1. If  $n = 1$ , done
  2. Recursively sort  $A[1..\lceil \frac{n}{2} \rceil]$  and  $A[\lceil \frac{n}{2} \rceil + 1..n]$
  3. Merge the 2 sorted lists
- $T(n) =$ 
  - $\Theta(1)$  if  $n = 1$
  - $2T(\frac{n}{2}) + \Theta(n)$  if  $n > 1$

## Solving Recurrences

### Telescoping Method

- For a sequence  $\sum_{k=0}^{n-1} (a_k - a_{k+1} + 1) = \frac{a_0 - a_1}{a_{n-1} - a_n} = a_0 - a_n$
- E.g.  $T(n) = 2T(\frac{n}{2}) + n$ 
  - $\frac{T(n)}{n} = \frac{T(\frac{n}{2})}{\frac{n}{2}} + 1$
  - $\frac{T(n)}{n} = \frac{T(1)}{1} + \log n$
  - $T(n) = n \log n$
- General Solution
  - $T(n) = aT(\frac{n}{b}) + f(n)$
  - $\frac{T(n)}{g(n)} = \frac{T(\frac{n}{b})}{g(\frac{n}{b})} + h(n)$

- And then sum up occurrences of  $h(n)$ .

### Recursion Tree

- Draw the tree, where each node is the  $f(n)$  value.
- Figure out the height of the tree and number of leaves

### Master Theorem

- Put recurrence in the form

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

- $a \geq 1, b > 1, f$  is asymptotically positive
- Compare  $f(n)$  and  $n^{\log_b a}$

Cases

1.  $f(n) = O(n^{\log_b a - \varepsilon}), \varepsilon > 0$  (If  $\varepsilon = 0$ , case 2)
  - $f(n)$  grows polynomially slower than  $n^{\log_b a}$
  - $\therefore T(n) = \Theta(n^{\log_b a})$
2.  $f(n) = \Theta(n^{\log_b a} \log^k n), k \geq 0$ 
  - $f(n)$  and  $n^{\log_b a}$  grow similar rates
  - $\therefore T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$
3.  $f(n) = \Omega(n^{\log_b a + \varepsilon}), \varepsilon > 0$ 
  - $f(n)$  satisfies  $af\left(\frac{n}{b}\right) \leq cf(n), c < 1$
  - The regularity condition satisfies that sum of subproblems  $< f(n)$
  - $f(n)$  grows polynomially faster than  $n^{\log_b a}$
  - $\therefore T(n) = \Theta(f(n))$

### Substitution

- For Upper bound:  $T(n) \leq cf(n)$
- For Tight bound:  $T(n) \leq c_2 n^2 - c_1 n$

Solve  $T(n) = 4T\left(\frac{n}{2}\right) + n$

- Guess  $T(n) = O(n^3)$ 
  - Constant  $c$  s.t.  $T(n) \leq cn^3, n \geq n_0$
- By induction
  - $c = \max\{2, q\}, n_0 = 1$
  - Base Case ( $n = 1$ ):  $T(1) = q \leq c(1)^3$
  - Recursive Case ( $n > 1$ ):
    - Strong induction, assume  $T(k) \leq ck^3, n > k \geq 1$
    - $T(n) = 4T\left(\frac{n}{2}\right) + n \leq 4c\left(\frac{n}{2}\right)^3 + n = \left(\frac{c}{2}\right)n^3 + n \leq cn^3$

## Tutorial 2

### Telescoping

- $T(n) = 4T\left(\frac{n}{4}\right) + \frac{n}{\log n}$
- $\frac{T(n)}{n} - \frac{T\left(\frac{n}{4}\right)}{\frac{n}{4}} = \frac{1}{\log n}$
- Let  $a_i = \frac{T(4^i)}{4^i}, i = \log_4 n, a_i - a_{i-1} = \frac{1}{2i}$
- $\sum_{m=0}^{i-1} (a_{m+1} - a_m) = \frac{1}{2i} + \frac{1}{2(i-1)} + \dots + \frac{1}{2} = \frac{1}{2}(H_i)$  ( $H_i$  is harmonic)
- $a_i - a_0 = O(\log i)$
- $T(4^i) = O(4^i \log i), T(n) = O(n \log \log n)$

## Lecture 3

### Correctness of Iterative Algos using Invariants

- Initialization: Invariant is true before first iteration of loop
- Maintenance: Invariant is true at start of loop iteration, it is true at start of the *next iteration* (Induction)
- Termination: Algo at the end gives correct answer

### Insertion Sort

- Invariant 1:  $A[1..i-1]$  are sorted values of  $B[1..i-1]$
- Invariant 2:

### Recursive Algorithms

- Usually use mathematical induction on *size of problem*

### Binary Search

- Induction on length  $n = \text{ub} - \text{lb} + 1$
- Base Case
  - **if**  $n \leq 0$ : **return False**
- Induction Step: if  $n > 0, \text{ub} \geq \text{lb}$ 
  - Assume algo works for all values  $\text{ub} - \text{lb} + 1 < n$
  - $x == A[\text{mid}]$ : **return True**, answer returned correctly
  - $x > A[\text{mid}]$ , then  $x$  is in the array iff it is in  $A[\text{mid} + 1.. \text{ub}]$ , as  $A$  is sorted. Thus by induction answer must be `BinarySearch(A, mid+1, ub, x)`
  - $x < A[\text{mid}]$ , then  $x$  is in the array iff it is in  $A[\text{lb}.. \text{mid}-1]$ , as  $A$  is sorted. Thus by induction answer must be `BinarySearch(A, lb, mid-1, x)`
  - Thus, answer returned is correct

### Divide and Conquer

1. Divide problem into smaller subproblems
2. Solve subproblems recursively (conquer)

3. Combine / Use subproblem to get solution to full problem

- $T(n) = aT\left(\frac{n}{b}\right) + f(n)$ 
  - $a$  subproblems
  - Each subproblem is size of at most  $\frac{n}{b}$
  - $f(n)$  is time needed to *divide* problem into subproblems + time to get solution from subproblems (*combine*)

### Merge Sort

1. MergeSort(A[*lb*..*ub*])
2. If *ub* = *lb* return
3. If *ub* > *lb*  $\text{mid} = \frac{\text{ub} + \text{lb}}{2}$  ( $O(1)$ )
4. MergeSort(A[*lb*, *mid*]), MergeSort(A[*mid*+1, *ub*]) (2 Subproblems, each  $\lceil \frac{n}{2} \rceil$ )
5. Merge 2 sorted list (Combining:  $\Theta(n)$ )

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n) \text{ Complexity: } \Theta(n * \log n)$$

### Powering

- $F(a, n) = F\left(a, \lfloor \frac{n}{2} \rfloor\right)^2$  if  $n$  is even
- $F(a, n) = F\left(a, \lfloor \frac{n}{2} \rfloor\right)^2 * F(a, 1)$  if  $n$  is odd

$$T(n) = T\left(\frac{n}{2}\right) + O(1)$$

### Fibonacci

## Tutorial 3

### Lecture 4

#### Lower bound for sorting

- Any comparison based sorting runs in  $\Omega(n \log n)$
- The tree must contain at least  $n!$  leaves for every possible permutation
- Height of binary tree =  $\log(n!) = \Omega n \log n$

## Tutorial 4

### Lecture 5

- Las Vegas Algorithms
  - Output always correct
- Monte Carlo
  - Answer may be incorrect with small probability

## Tutorial 5

### Lecture 6

#### LCS

##### Brute Force

- Check all possible subsequences of A and check if its a subsequence of B and output longest one
- $2^n$  possible subsequences, Total Time  $O(m2^n)$

##### Recursive

Base Case:

- $\text{LCS}(i, 0) = \emptyset$  ( $i$  is index A,  $j$  is index B)
- $\text{LCS}(0, j) = \emptyset$  ( $i$  is index A,  $j$  is index B)

If  $a_n = b_m$ , then  $\text{LCS}(n-1, m-1) :: a_n$  Proof by contradiction

- If last symbol in  $S = \text{LCS}(n, m)$  is not same as  $a_n$ , then last symbol must be a part of  $a_1, \dots, a_{n-1}$ , and  $b_1, \dots, b_{n-1}$ .
- $S$  is subsequence of  $a_1, \dots, a_{n-1}$ , and  $b_1, \dots, b_{n-1}$ .
- Append  $a_n$  with  $S$  i.e.  $S :: a_n$  and get subsequence of length 1 more
- Thus  $S$  cannot be largest subsequence (Contradiction)
- So far, we only argued  $a_n$  must be last symbol in  $\text{LCS}(n, m)$
- It is fine to match  $a_n$  with  $b_m$  (since  $a_n$  is last symbol)
- Therefore  $\text{LCS}(n, m) = \text{LCS}(n-1, m-1) :: a_n$

If  $a_n \neq b_m$ ,  $\text{LCS}(n, m) = \max(\text{LCS}(n-1, m), \text{LCS}(n, m-1))$

## Tutorial 6

Greedy vs DP

### Lecture 7 Greedy

#### DP Recap

- Express solutions recursively
- Small number (polynomial) of subproblems
- Huge overlap among subproblems, so recursive may take exponential time
- Compute recursive iteratively in bottom up fashion

#### Greedy

Recast the problem so that only 1 subproblem needs to be solved at each step.

## Lecture 8 Amortized Analysis