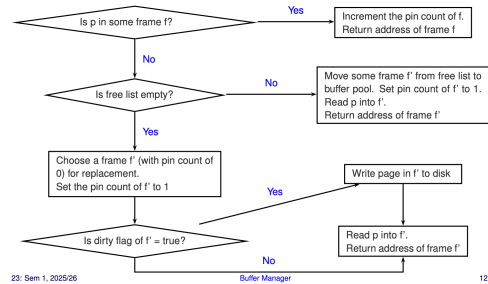


## Storage

- Parts of disk
  - Platter has 2 surfaces
  - Surface has many tracks
  - Each track is broken up into sectors
  - Cylinder is the same tracks across all surfaces
  - Block comprises of multiple sectors
- Disk Access Time** - Seek time + Rotational Delay + Transfer Time
  - Seek Time** - Move arms to position disk head
  - Rotational Delay** -  $\frac{1}{2} \frac{60}{\text{RPM}}$
  - Transfer time**(for  $n$  sectors) -  $n \times \frac{\text{time for 1 revolution}}{\text{sectors per track}}$ 
    - $n$  is requested sectors on track
- Access Order
  - Contiguous Blocks within same track (same surface)
  - Cylinder track within same cylinder
  - next cylinder

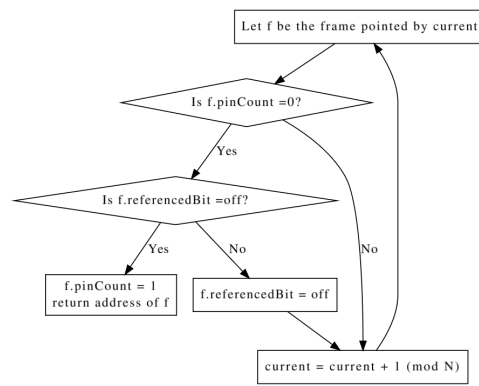
## Buffer Manager



- Data stored in block sized pages called frames
- Each frame maintains pin count(PC) and dirty flag

### Replacement Policies

- Decide which unpinned page to replace
- LRU** - queue of pointers to frames with PC = 0
- clock** - LRU variant
  - Reference bit** - turns on when PC = 0
  - Replace a page when ref bit off and PC = 0



## Files

- Heap File Implementation
  - Linked List
    - 2 linked lists, 1 of free pages, 1 of data pages
  - Page Directory Implementation
    - Directory structure, 1 entry per page.
    - to insert, scan directory to find page with space to store record

### Page Formats

- RID** = (page id, slot number)
- Fixed Length records
  - Packed Organization: Store records in contiguous slots (requires swapping last item to deleted location during deletion)
  - Unpacked organization: Use bit array to maintain free slots
- Variable Length Records**: Slotted page organization

### Record Formats

- Fixed Length Records: Stored consecutively
- Variable length Records
  - Delimit fields with special symbols (F1, \$, F2, \$, F3)
  - Array of field offsets ( $o_1, o_2, o_3, F1, F2, F3$ )

### Data Entry Formats

- $k *$  is an actual data record (with search key value  $k$ )
- $k *$  is of the form (**k, rid**)
- $k *$  is of the form (**k, rid-list**) list of rids of data with key  $k$

## B+ Tree index

- Search key** is sequence of  $k$  data attributes  $k \geq 1$

- Composite search key** if  $k > 1$
- unique key** if search key contains *candidate* key of table
- index is stored as file
- Clustered index** - Ordering of data is same as data entries
  - key is known as **clustering key**
  - Format 1 index is clustered index (Assume format 2 and 3 to be unclustered)

## Tree based Index

- root node** at level 0
- Height of tree = no of levels of internal node
- Leaf nodes**
  - level  $h$ , where  $h$  is height of tree
- internal nodes** store entries in form  $(p_0, k_1, p_1, k_2, p_2, \dots, p_n)$ 
  - $k_1 < k_2 < \dots < k_n$
  - $p_i$  = disk page address
- Order** of index tree
  - Each non-root node has  $m \in [d, 2d]$  entries
  - Root node has  $m \in [1, 2d]$  entries
- Equality search**: At each *internal* node  $N$ , find largest key  $k_i$  in  $N$ , such that  $k_i \leq k$ 
  - if  $k_i$  exists, go subtree  $p_i$ , else  $p_0$
- Range search**: First matching record, and traverse doubly linked list
- Min nodes at level i** is  $2 \times (d + 1)^{i-1}, i \geq 1$
- Max nodes at level i** is  $(2d + 1)^i$

## Operations (Right sibling first, then left)

### Insertion

- Leaf node Overflow**
  - Redistribute and then split
  - Split** - Create a new leaf  $N$  with  $d + 1$  entries. Create a new index entry  $(k, \blacksquare)$  where  $k$  is smallest key in  $N$
  - Redistribute** - If sibling is not full, take from it. If given right, update right's parent pointer, else current node's parent pointer
- Internal node Overflow**

- Node has  $2d + 1$  keys.
- Push middle  $(d + 1)$ -th key up to parent.

### Deletion

- Leaf node**
  - Redistribute then merge
- Redistribution**
  - Sibling must have  $> d$  records to borrow

- Update parent pointers to right sibling's smallest key)
  - Merge**
    - If sibling has  $d$  entries, then merge
    - Combine with sibling, and then remove parent node
- Internal Node Underflow**
    - Let  $N'$  be adjacent *sibling* node of  $N$  with  $l, l > d$  entries
    - Insert  $(K, N'.p_i)$  into  $N$ , where  $i$  is the leftmost(0) or rightmost entry( $l$ )
    - Replace  $K$  in parent node with  $N'.k_i$
    - Remove  $(p_i, k_i)$  entry from  $N'$

### Bulk Loading

- Sort entries by search keys.
- Load leaf pages with  $2d$  entries
- For each leaf page, insert index entry to rightmost parent page

## Hash based Index

### Static Hashing

### Linear Hashing

### Extensible Hashing